

FORM PTO-1449

LIST OF DOCUMENTS CITED BY APPLICANT

JUN 02 2004

Atty. Docket No.	Appln. No.
A-8753W	10/742,890
Applicant	
Gerald J. BANKS	
Filing Date	Group
December 23, 2003	2818

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date
HN	AA 5,200,920	04/06/93	Norman et al.	365	185	
HN	AB Re. 32,401	04/14/87	Belistein, Jr. et al	365	182	
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
HN	AL 56-114199	09/08/81	Japan			No
HN	AM 61-239497	10/24/86	Japan			No
HN	AN 61-239498	10/24/86	Japan			No
HN	AO 62-24499	02/02/87	Japan			No
HN	AP 1-159895	06/22/89	Japan			No
AQ						

HN	AR	Torelli, Guido et al., "An Improved Method for Programming a Word-Erasable EEPROM", <u>Alta Frequenza - Scientific Review in Electronics</u> , Vol. LII, No. 6, Pages 487-494 (1983).
HN	AS	Yoshikawa, Kuniyoshi et al., "An Asymmetrical Lightly-Doped Source (ALDS) Cell for Virtual Ground High Density EPROMs", <u>IEEE International Electron Devices Meeting</u> , 1988, Pages 432-435.

Examiner	Hien Nguyen	Date Considered:	3/4/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.			

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	Applicant Gerald J. BANKS	
	Filing Date December 23, 2003	Group 2818

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	4,417,325	11/22/83	Harari	365	185	
	AB	4,448,400	5/15/84	Harari	365	185	
	AC	4,612,629	9/16/86	Harari	365	185	
	AD	5,043,940	8/27/91	Harari	365	168	
	AE	5,163,021	11/10/92	Mehrotra et al.	365	185	
	AF	5,168,465	12/1/92	Harari	257	320	
	AG	5,198,380	3/30/93	Harari	437	43	
	AH	5,200,959	4/6/93	Gross et al.	371	21.6	
	AI	5,268,318	12/7/93	Harari	437	43	
	AJ	5,268,319	12/7/93	Harari	437	43	
	AK	5,268,870	12/7/93	Harari	365	218	
	AL	5,272,669	12/21/93	Samachisa et al.	365	185	
	AM	5,293,560	3/8/94	Harari	365	185	
	AN	5,313,421	5/17/94	Guterman et al.	365	185	
	AO	5,422,842	6/6/95	Cernea et al.	365	185	
	AP	5,428,621	6/27/95	Mehrotra et al.	371	21.4	
	AQ	5,430,859	7/4/95	Norman et al.	395	425	
	AR	5,434,825	7/18/95	Harari	365	185	
	AS	5,495,442	2/27/96	Cernea et al.	365	185.03	
	AT	5,544,118	8/6/96	Harari	365	218	
	AU	5,554,553	9/10/96	Harari	437	43	
	AV	5,568,439	10/22/96	Harari	365	218	
	AW	5,583,812	12/10/96	Harari	365	185.33	
	AX	5,642,312	6/24/97	Harari	365	185.33	
	AY	5,657,332	8/12/97	Auclair et al.	371	40.11	
	AZ	5,659,550	8/19/97	Mehrotra et al.	371	21.4	

Examiner

HIEU NGUYEN

Date Considered

^a 3/4/05

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U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HJN	BA	5,712,180	1/27/98	Guterman et al.	437	43	
	BB	5,712,819	1/27/98	Harari	365	185.29	
	BC	5,776,810	7/7/98	Guterman et al.	438	258	
	BD	5,806,070	9/8/98	Norman et al.	711	103	
	BE	4,661,929	4/285/87	Aoki et al.	365	189	
	BF	4,701,884	10/20/87	Aoki et al.	365	189	
	BG	4,709,350	11/24/87	Nakagome et al.	365	45	
	BH	5,014,242	5/7/91	Akimoto et al.	365	63	
	BI	5,299,165	3/29/94	Kimura et al.	365	210	
	BJ	5,307,304	4/26/94	Saito et al.	365	145	
	BK	5,802,553	9/1/98	Robinson et al.	711	103	
	BL	5,801,991	9/1/98	Keeney et al.	365	185.23	
	BM	5,796,667	8/18/98	Sweha et al.	365	207	
	BN	5,781,472	7/14/98	Sweha et al.	365	185.11	
	BO	5,754,566	5/19/98	Christopherson et al.	371	40.18	
	BP	5,748,546	5/5/98	Bauer et al.	365	210	
	BQ	5,671,388	9/23/97	Hasbun	395	430	
	BR	5,574,879	11/12/96	Wells et al.	395	427	
	BS	5,566,125	10/15/96	Fazio et al.	365	45	
	BT	5,563,828	10/8/96	Hasbun et al.	365	185.33	
	BU	5,553,020	9/3/96	Keeney et al.	365	185.19	
	BV	5,546,042	8/13/96	Tedrow et al.	327	538	
	BW	5,497,354	3/5/96	Sweha et al.	365	230.06	
HJN	BX	5,487,033,	1/23/96	Keeney et al.	365	185.19	
HJN	BY	5,438,546	8/1/95	Ishac et al.	365	200	

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HJN NGUYEN	3/4/05
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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HN	AA	4,192,014	03/04/80	Craycraft	365	104	
↑	AB	4,357,685	11/02/82	Daniele et al.	365	189	
AC	4,964,079	10/16/90		Devin	365	168	
AD	5,172,338	12/15/92		Mehrotra et al.	365	185	
AE	5,262,984	11/16/93		Noguchi et al.	365	185	
AF	3,801,965	04/02/74		Keller et al.	340	173R	
AG	4,004,159	01/18/77		Rai et al.	307	238	
AH	4,054,864	10/18/77		Audaire et al.	340	173R	
AI	4,090,258	05/16/78		Cricchi	365	184	
↓	AJ	4,122,541	10/24/78	Uchida	365	154	
HN	AK	4,139,910	02/13/79	Anantha et al.	365	183	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
HN	AL	WO82/02276	07/08/82	WIPO			
↑	AM	WO82/02976	09/02/82	WIPO			
AN	WO90/01984	03/08/90		WIPO			
AO	2 630 574	10/27/89		France			Abstract
↓	AP	0 390 404	10/03/90	Europe			
HN	AQ	0 725 403	08/07/96	EPO			

OTHER

HN	AR	M. Bauer et al., A Multilevel-Cell 32Mb Flash Memory, 1995 IEEE International Solid-State Circuits Conference, Session 7, Paper TA7.7.
HN	AS	John A. Bayliss et al., The Interface Processor for the 32b Computer, 1981 IEEE International Solid-State Circuits Conference, February 1981, at 116-117.

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Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HJ	AA*	4,149,270	04/10/79	Cricchi et al.	365	222	
↑	AB*	4,181,980	01/01/80	McCoy	365	45	
	AC*	4,272,830	06/09/81	Moench	365	45	
	AD*	4,287,570	09/01/81	Stark	365	104	
	AE*	4,300,210	11/10/81	Chakravarti et al.	365	45	
	AF*	4,306,300	12/15/81	Terman et al.	365	45	
	AG*	4,327,424	04/27/82	Wu	365	104	
	AH*	4,388,702	06/14/83	Sheppard	365	104	
↓	AI*	4,415,992	11/15/83	Adlhoc	365	94	
AJ*	AJ*	4,449,203	05/15/84	Adlhoc	365	104	
HJ	AK*	4,462,088	07/24/84	Giuliani et al.	365	105	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	AL*						
	AM*						
	AN*						
	AO*						
	AP*						
	AQ*						

HJ	AR*	Christoph Bleiker & Hans Melchior, A Four-State EEPROM Using Floating-Gate Memory Cells, IEEE Journal of Solid-State Circuits, Vol. SC-22, No. 3, June 1987, at 260-263.
HJ	AS*	Raymond A. Heald & David A. Hodges, Multilevel Random-Access Memory Using One Transistor Per Cell, IEEE Journal of Solid-State Circuits, Vol. SC-11, No. 4, August 1976, at 519-528.

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Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HN	AA^	4,495,602	01/22/85	Sheppard	365	104	
	AB^	4,503,518	03/05/85	Iwahashi	365	104	
	AC^	4,558,241	12/10/85	Suzuki et al.	307	530	
	AD^	4,578,777	03/25/86	Fang et al.	365	184	
	AE^	4,586,163	04/29/86	Koike	365	104	
	AF^	4,627,027	12/02/86	Rai et al.	365	45	
	AG^	4,653,023	03/24/87	Suzuki et al.	365	104	
	AH^	4,661,929	04/28/87	Aoki et al.	365	189	
	AI^	4,709,350	11/24/87	Nakagome et al.	365	45	
HN	AJ^	4,733,394	03/22/88	Giebel	371	21	
HN	AK^	4,771,404	09/13/88	Mano et al.	365	189	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	AL^						
	AM^						
	AN^						
	AO^						
	AP^						
	AQ^						

OTHER REFERENCES

HN	AR^	David A. Rich, A Survey of Multivalued Memories, IEEE Transactions on Computers, Vol. C-35, No. 2, February 1986, at 99-106.
HN	AS^	R.S. Withers et al., Nonvolatile Analog Memory in MNOS Capacitors, IEEE Electron Device Letters, Vol. EDL-1, No. 3, March 1980, at 42-45.

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SEARCHED - PERTINENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HJ	AA#	4,799,195	01/17/89	Iwahashi et al.	365	185	
A	AB#	4,847,808	07/11/89	Kobatake	364	104	
	AC#	4,853,892	08/01/89	Hori	365	49	
	AD#	4,890,259	12/26/89	Simko	365	45	
	AE#	4,914,631	04/03/90	Johnson et al.	365	189.11	
	AF#	4,989,179	01/29/91	Simko	365	45	
	AG#	5,021,999	06/04/91	Kohda et al.	365	168	
	AH#	5,043,940	08/27/91	Harari	365	168	
V	AI#	5,095,344	03/10/92	Harari	357	23.5	
	AJ#	5,163,021	11/10/92	Mehrotra et al.	365	185	
HJ	AK#	5,258,958	11/02/93	Iwahashi et al.	365	210	

SEARCHED - PERTINENT DOCUMENTS							
Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	AL#						
	AM#						
	AN#						
	AO#						
	AP#						
	AQ#						

CITED DOCUMENTS		
HJ	AR#	"Mid-Level Current Generator Circuit", IBM Technical Disclosure Bulletin, Vol. 33, No. 1B, 1 June 1990, pp. 386-388.
	AS#	
	AT#	

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EXAMINER'S CITATIONS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HN	AA'	5,268,870	12/07/93	Harari	365	218	
↑	AB'	5,293,560	03/08/94	Harari	365	185	
	AC'	5,321,655	06/14/94	Iwahashi et al.	365	200	
	AD'	5,351,210	09/27/94	Saito	365	189.01	
	AE'	5,422,845	06/06/95	Ong.	365	185	
	AF'	5,432,735	07/11/95	Parks et al.	365	168	
	AG'	5,434,825	07/18/95	Harari	365	185	
	AH'	5,440,505	08/08/95	Fazio et al.	365	45	
	AI'	5,444,656	08/22/95	Bauer et al.	365	189.01	
HN	AJ'	5,450,363	09/12/95	Christopherson et al.	365	205	
	AK'	5,457,650	10/10/95	Sugiura et al.	365	184	

EXAMINER'S CITATIONS							
Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	AL'						
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	AO'						
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CITATIONS	
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PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HJ	AA-	5,469,384	11/21/95	Lacey	365	185.13	
↑	AB-	5,475,693	12/12/95	Christopherson et al.	371	10.2	
	AC-	5,485,422	01/16/96	Bauer et al.	365	168	
	AD-	5,497,119	03/05/96	Tedrow et al.	327	540	
	AE-	5,506,813	04/09/96	Mochizuki et al.	365	230.03	
	AF-	5,508,958	04/16/96	Fazio et al.	365	185.19	
	AG-	5,515,317	05/07/96	Wells et al.	395	427	
	AH-	5,523,972	06/04/96	Rashid et al.	365	185.22	
↓	AI-	5,539,690	07/23/96	Talreja et al.	365	185.22	
	AJ-	5,541,886	07/30/96	Hasbun	365	230.01	
HJ	AK-	5,544,118	08/06/96	Harari	365	218	

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Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HN	AA♦	5,550,772	08/27/96	Gill	365	185.03	
HN	AB♦	5,568,439	10/22/96	Harari	365	218	
HN	AC♦	5,583,812	12/10/96	Harari	365	185.33	
HN	AD♦	5,594,691	01/14/97	Bashir	365	189.09	
HN	AE♦	5,596,527	01/21/97	Tomioka et al.	365	185.20	
HN	AF♦	3,660,819	05/02/72	Frohman-Bentchkowsky	317	235R	
HN	AG♦	5,295,255	03/15/94	Malecek et al.	395	425	
	AH♦						
	AI♦						
	AJ♦						
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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HJ	AA	3,755,721	8/28/73	Frohman-Bentchkowsky	317	235R	
HJ	AB	5,021,999	6/4/91	Kohda et al.	365	168	
HJ	AC	4,903,236	2/20/90	Nakayama et al.	365	185	
	AD						
	AE						
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FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	AL						
	AM						
	AN						
	AO						
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OTHER (including all other documents on previous pages)

HJ	AQ	Bleiker, Christoph, and Melchior, Hans. "A Four-State EEPROM Using Floating-Gate Memory Cells." IEEE Journal of Solid-State Circuits, Vol. SC-22, No. 3. (June 1987) : 460-463.
HJ	AR	Intel Corporation. "2764A Advanced 64K (8K x 8) Production and UV Erasable PROMs." Memory Components Handbook. (October 1985) : 4.10-4.20.
HJ	AS	Chi, Min-hwa, and Bergemont, Albert. "Multi-level Flash/EPROM Memories: New Self-convergent Programming Methods for Low-voltage Applications." 1995 Technical Digest-International Electron Devices Meeting. (1995) : 271-274.

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BL						
BM						
BN						
BO						
BP						

OTHER CITATIONS

HJ	BQ	Aritome, Seiichi, et al. "A Novel Side-Wall Transfer-Transistor Cell (SWATT Cell) For Multi-Level NAND EEPROMs." 1995 Technical Digest-International Electron Devices Meeting. (1995) : 275-278.
HJ	BR	Bauer, M., et al. "TA 7.7: A Multilevel-Cell 32Mb Flash Memory." 1995 IEEE International Solid-State Circuits Conference, 1995 Digest of Technical Papers. (1995) : 132-133.
HJ	BS	Hemink, G.J., et al. "Fast and Accurate Programming Method for Multi-level NAND EEPROMS." 1995 Symposium on VLSI Technology Digest of Technical Papers. (1995) : 129-130.

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CA						
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Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
CL						
CM						
CN						
CO						
CP						

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HN	CQ	Takeuchi, Ken, et al. "A Double-Level-V _{th} Select Gate Array Architecture for Multi-Level NAND Flash Memories." 1995 Symposium on VLSI Circuits Digest of Technical Papers. (1995) : 69-70.
HN	CR	Gotou, Hiroshi. "New Operation Mode for Stacked-Gate Flash Memory Cell." IEEE Electron Device Letters, Vol. 16, No. 3. (March 1995) : 121-123.
HN	CS	Jung, Tae-Sung, et al. "TP 2.1: A 3.3V 128Mb Multi-Level NAND Flash Memory for Mass Storage Applications." 1996 IEEE International Solid-State Circuits Conference, 1996 Digest of Technical Papers. (1996) : 32-33.

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FORM PTO-1449

LIST OF DOCUMENTS CITED BY APPLICANT

Atty. Docket No.	Appln. No.
A-8753W	10/742,890
Applicant	
Gerald J. Banks	
Filing Date	Group
December 23, 2003	2818

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
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Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	DL						
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OTHER

HN	DQ	Neale, Ron. "Will multi-level Flash memory be the way to the future?" Electronic Engineering (London), Vol. 68, No. 835. (July 1996) : 3 pp.
HN	DR	Patton, Robert. "Flash makers taking conservative steps forward." Japanese Press Network. (November 1996) : 2 pp.
HN	DS	Ohkawa, Masayoshi, et al. "TP 2.3: A 98mm ² 3.3V 64Mb Flash Memory with FN-NOR Type 4-level Cell." 1996 IEEE International Solid-State Circuits Conference, 1996 Digest of Technical Papers. (1996) : 36-37.

Examiner

HIEN NGUYEN

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
EL						
EM						
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EO						
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OTHER

HJ	EQ	Montanari, D., et al. "Multi-Level Charge Storage in Source-Side Injection Flash EEPROM." 1996 IEEE International NonVolatile Memory Technology Conference. (1996) : 80-83.
HJ	ER	Atwood, Greg, et al. "Intel StrataFlash Memory Technology Overview." Intel Technology Journal. (Fourth quarter 1997) : 1-8.
HJ	ES	Fazio, Al, and Bauer, Mark. "Intel StrataFlash Memory Technology Development and Implementation." Intel Technology Journal. (Fourth quarter 1997) : 1-13.

Examiner

Hien Nguyen

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
FL						
FM						
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OTHER REFERENCES CITED

HJ	FQ	Intel Corporation. "Intel StrataFlash Memory Technology." Intel Technical Paper. (September 1997) : 1-13.
HJ	FR	Candelier, Ph., et al. "Hot Carrier Self Convergent Programming Method for Multi-Level Flash Cell Memory." 1997 35 th Annual IEEE International Reliability Physics Symposium. (1997) : 104-109.
HJ	FS	Intel Corporation. "Intel StrataFlash Memory Technology 32 and 64 Mbit." Intel Advance Information. (January 1998) : 1-53.

Examiner	Date Considered
Hien Nguyen	3/4/05

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
GL						
GM						
GN						
GO						
GP						

OTHER (including prior art relied upon)

HN	GQ	Alberts, G.S., and Kotecha, H.N. "Multi-Bit Storage FET EAROM Cell." IBM Technical Disclosure Bulletin, Vol. 24, No. 7A. (December 1981) : 3311-3314.
HN	GR	Blyth, Trevor, et al. "TPM 11.7: A Non-Volatile Analog Storage Device Using EEPROM Technology." 1991 IEEE International Solid-State Circuits Conference, Digest of Technical Papers. (February 1991) : 192-193 and 315.
HN	GS	Hanyu, T., et al. "Functionally separated, multiple-valued content-addressable memory and its applications." IEE Proceedings-Circuits Devices Systems, Vol. 142, No. 3. (June 1995) : 165-172.

Examiner	Date Considered
Hien Nguyen	3/4/05

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Gerald J. Banks	
Filing Date	Group
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PARENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date
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CORRIGEN PARENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
HL						
HM						
HN						
HO						
HP						

OTHER DOCUMENTATION (Indicate date document placed below)

HJ	HQ	English abstract of "Flash Memories-Interview with Intel Corporation Representative." Elektronik Praxis 30(10). (24 May 1995) : 26-30.
HJ	HR	Tran, Hieu Van, et al. "FP 16.6: A 2.5V 256-Level Non-Volatile Analog Storage Device Using EEPROM Technology." 1996 IEEE International Solid-State Circuits Conference. (February 1996) : 270-271, 458, and 512.
HJ	HS	Choi, Young-Joon, et al. "A High Speed Programming Scheme for Multi-Level NAND Flash Memory." 1996 Symposium on VLSI Circuits Digest of Technical Papers. (1996) : 170-171.

Examiner

HIEU NGUYEN

Date Considered

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A-8753W	10/742,890
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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IL						
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OTHER CITATIONS

<i>HN</i>	IQ	Giridhar, Raghupathy V. "Flash Technology: Challenges and Opportunities." Japanese Journal of Applied Physics, Vol. 35 (1996), Part I, No. 12B, Review Papers. (December 1996) : 6347-6350.
<i>HN</i>	IR	Gill, Manzur. "Flash Memories: A Review." 1996 IEEE International NonVolatile Memory Technology Conference. (1996) : 142. Abstract provided only.
<i>HN</i>	IS	Calligaro, C., et al. "Technological and Design Constraints for Multilevel Flash Memories." 1996 IEEE International Conference on Electronic Circuits and Systems. (1996) : 1005-1008.

Examiner	Date Considered
<i>Hien Nguyen</i>	<i>3/4/05</i>
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U.S. PATENT DOCUMENTS

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JL						
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OTHER REFERENCES CITED

HJ	JQ	Eitan, Boaz, et al. "Multilevel Flash cells and their Trade-offs." 1996 IEEE International Electron Devices Meeting. (1996) : 169-172.
HN	JR	Yoshikawa, Kuniyoshi. "Impact of Cell Threshold Voltage Distribution in the Array of Flash Memories on Scaled and Multilevel Flash Cell Design." 1996 Symposium on VLSI Technology Digest of Technical Papers. (1996) : 240-241.
HN	JS	Hanyu, Takahiro, et al. "Non-Volatile One-Transistor-Cell CAM and Its Applications." Methodologies for the Conception, Design, and Applications of Intelligent Systems, Proceedings of IIZUKA. (October 1996) : 101-104.

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FOREIGN PATENT DOCUMENTS

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KL						
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OTHER

HJ	KQ	Calligaro, C., et al. "Mixed Sensing Architecture for 64Mbit 16-Level-Cell Non-Volatile Memories." 1996 IEEE Innovative Systems in Silicon Conference. (October 1996) : 133-140.
HJ	KR	Hanyu, Takahiro, et al. "Design of a One-Transistor-Cell Multiple-Valued CAM." IEEE Journal of Solid-State Circuits, Brief Papers, Vol. 31, No. 11. (November 1996) : 1669-1674.
HJ	KS	Kencke, D.L., et al. "A Sixteen Level Scheme Enabling 64Mbit Flash Memory Using 16Mbit Technology." 1996 International Electron Devices Meeting. (1996) : 937-939.

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HJEN NGUYEN

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LL						
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OTHER

HJ	LQ	De Graaf, C., et al. "Feasibility of Multilevel Storage in Flash EEPROM Cells." Proceedings of the 25 th European Solid State Device Research Conference. (September 1995) : 213-216.
HN	LR	Aritome, Seiichi, et al. "A Side-Wall Transfer-Transistor Cell (SWATT Cell) for Highly Reliable Multi-Level NAND EEPROM's." IEEE Transactions on Electron Devices, Vol. 44, No. 1. (January 1997) : 145-152.
HN	LS	Gotou, H. "An Experimental Confirmation of Automatic Threshold Voltage Convergence in a Flash Memory Using Alternating Word-Line Voltage Pulses." IEEE Electron Device Letters, Vol. 18, No. 10. (October 1997) : 503-505.

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
ML						
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HJ	MQ	Kim, H.S., et al. "Fast Parallel Programming of Multi-Level NAND Flash Memory Cells Using the Booster-Line Technology." 1997 Symposium on VLSI Technology Digest of Technical Papers. (1997) : 65-66.
HJ	MR	Takeuchi, Ken, et al. "A Multi-Page Cell Architecture for High-Speed Programming Multi-Level NAND Flash Memories." 1997 Symposium on VLSI Circuits Digest of Technical Papers. (1997) : 67-68.
HJ	MS	Choi, Jung Dal, et al. "A Triple Polysilicon Stacked Flash Memory Cell with Wordline Self-Boosting Programming." 1997 IEEE International Electron Devices Meeting. (1997) : 283-286.

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
NL						
NM						
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OTHER REFERENCES CITED

HJ	NQ	Shen, Shih-Jye, et al. "Novel Self-Convergent Programming Scheme for Multi-Level P-Channel Flash Memory." 1997 IEEE International Electron Devices Meeting. (1997) : 287-290.
HJ	NR	Otsuka, Nobuaki, and Horowitz, Mark A. "Circuit Techniques for 1.5-V Power Supply Flash Memory." IEEE Journal of Solid-State Circuits, Vol. 32, No. 8. (August 1997) : 1217-1230.
HJ	NS	Hanyu, Takahiro, et al. "TP 2.5: 2-Transistor-Cell 4-Valued Universal-Literal CAM for a Cellular Logic Image Processor." 1997 IEEE International Solid-State Circuits Conference. (February 1997) : 46-47 and 362.

Examiner	Date Considered
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FOREIGN PATENT DOCUMENTS

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ON						
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OTHER (Indicate if reference considered or not considered)

HJS	OQ	Eitan, Boaz. "Hot Carrier Effects in FLASH." Microelectronic Engineering, Vol. 36. (June 1997) : 277-284.
HJN	OR	Montanari, Donato, et al. "Voltage Variant Source Side Injection for Multilevel Charge Storage in Flash EEPROM." IEEE Transactions on Components, Packaging, and Manufacturing Technology, Part A. Vol. 20, No. 2. (June 1997) : 196-202.
HJN	OS	Calligaro, Cristiano, et al. "Comparative Analysis of Sensing Schemes for Multilevel Non-Volatile Memories." 1997 Proceedings of the Second Annual IEEE International Conference on Innovative Systems in Silicon. (October 1997) : 266-273.

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PL						
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OTHER REFERENCES CITED

HJ	PQ	Lorenzini, Martino, et al. "A Dual Gate Flash EEPROM Cell with Two-Bit Storage Capacity." IEEE Transactions on Components, Packaging and Manufacturing Technology, Part A. Vol. 20, No. 2. (June 1997) : 182-189.
HJ	PR	Bonner, Bruce. "New Flash Market Attracts SGS and Mitsubishi." Dataquest, The Semiconductor DQ Monday Report, Issue 8. (March 2, 1998). Dataquest Analysis provided only.
HJ	PS	Donoghue, Bill, et al. "A 256K HCMOS ROM Using a Four-State Cell Approach." IEEE Journal of Solid-State Circuits, Vol. SC-20, No. 2. (April 1985) : 598-602.

Examiner

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3/4/05

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FORM PTO-1449 LIST OF DOCUMENTS CITED BY APPLICANT				Atty. Docket No. A-8753W	Appln. No. 10/742,890		
				Applicant Gerald J. BANKS			
				Filing Date December 23, 2003	Group 2818		
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
HJ	AA	4,809,224	02/28/89	Suzuki et al.	365	168	
	AB	5,218,569	06/08/93	Banks	365	189.01	
	AC	5,872,735	02/16/99	Banks	365	189.01	
	AD	6,002,614	12/14/99	Banks	365	189.01	
	AE	6,104,640	08/15/00	Banks	365	189.01	
	AF	6,011,716	01/04/00	Banks	365	185.03	
	AG	6,014,327	01/11/00	Banks	365	185.03	
	AH	6,118,692	09/12/00	Banks	365	185.03	
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	AJ	6,243,321	06/05/01	Banks	365	233	
	AK	6,343,034	01/29/02	Banks	365	189.00	
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	AN	6,339,545	01/15/02	Banks	365	185.03	
	AO	6,324,121	11/27/01	Banks	365	185.03	
	AP	6,327,189	12/04/01	Banks	365	189.01	
	AQ	6,404,675	06/11/02	Banks	365	185.03	
	AR	6,344,998	02/05/02	Banks	365	185.22	
	AS	6,434,050	08/13/02	Banks	365	185.2	
	AT	6,381,172	04/30/02	Banks	365	185.03	
HJ	AU	6,714,455	03/30/04	Banks	419	27	
OTHER (including author, title, date, pertinent pages, etc.)							
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Examiner	Hien Nguyen			Date Considered			3/4/05
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT <u>LIST OF DOCUMENTS CITED BY APPLICANT</u>		Atty. Docket No. A-8753W	Appln. No. 10/742,890
		Applicant Gerald J. BANKS	
		Filing Date December 23, 2003	Group 2824

U.S. PATENT DOCUMENTS

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OFFICE OF PETITIONS

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
HN	AJ	9-181279	07/11/97	Japan			No
HN	AK	4-507320,	12/17/92	Japan			No
HN	AL	5-217385,	08/27/93	Japan			No
HN	AM	3-242898,	10/29/91	Japan			No
HN	AN	6-686827	03/11/94	Japan			Abstract
HN	AO	8-195092,	07/30/96	Japan			Abstract
HN	AP	62-6493,	01/13/87	Japan			Abstract
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OTHER (including author, title, date, pertinent pages, etc.)

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Examiner	Hien Nguyen	Date Considered	3/4/05
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A-8753W
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Gerald J. BANKS

Appln. No.: 10/742,890

Group Art Unit: 2824

Filed: December 23, 2003

Examiner: H. Nguyen

For: MEMORY APPARATUS INCLUDING PROGRAMMABLE NON-VOLATILE
MULTI-BIT MEMORY CELL, AND APPARATUS AND METHOD FOR
DEMARCATING MEMORY STATES OF CELL

Allowed: May 18, 2004

Confirmation No.: 7102

* * *

SECOND

REQUEST FOR ACKNOWLEDGEMENT OF
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Mail Stop Issue Fee

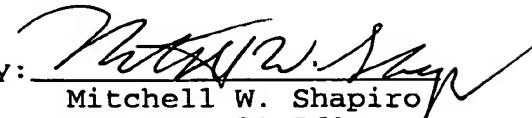
Sir:

An Information Disclosure Statement was filed on May 17, 2004, prior to the allowance of the subject application.

Applicant respectfully requests that the Examiner confirm consideration of the Information Disclosure

Statement by returning an endorsed copy of the Form PTO-
1449.

Respectfully submitted,

By: 
Mitchell W. Shapiro
Reg. No. 31,568

MWS:sjk

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August 18, 2004